

Elizabeth City State University – Residence & Dining Hall

Prequalification Form for First Tier Subcontractors under CM at Risk

Pursuant to the NC General Statute 143.128.1.c, this form gathers information about the subcontractors seeking to qualify for the work and provides a general format for the prequalification criteria. This advertisement is for subcontractor pre-qualification only, not for submission or receipt of bids. Completing this questionnaire does not guarantee prequalification. Bid references, identified scopes of work and budgets are set forth for the prequalification process and project interest only, and are not final. Final bid packages will be issued at the time the advertisement to bid is sent to prequalified subcontractors. In determining subcontractor's qualifications, the following shall be considered:

1. Financial strength and capacity
2. Prior performance, with particular concern and emphasis given to adherence to schedule, quality of work, experience on projects of similar character, complexity and cost, and claims/disputes history.
3. Past experience with the Owner, the Construction Manager, the designer and other subcontractors, including, claims, disputes, arbitration, or litigation involving any one or more of those parties.

Explanation of Pre-Qualification Selections:

Should a subcontractor want an explanation of their submittal's non-prequalified status on an individual project, they should contact the CM at Risk in email for an explanation within 3 business days of notification of the prequalified subcontractor's list.

Prequalification Deadline: Monday, May 1, 2023, at 5:00 PM

Submit To: Email Completed Prequalification Forms to Jeannine.Sims@Whiting-Turner.com. Please direct any questions regarding prequalification to Jeannine Sims at 757-334-4927.

Elizabeth City State University: Residence & Dining Hall

Project Owner: Elizabeth City State University

Project Architect: Little Diversified Architectural Consulting, Inc

Approx. Project Budget: \$44 Million

Project Start Date: August 1, 2023

Anticipated Bid Date: June 14, 2023

Project Duration: 20 Months

Project Description:

This project consists of the new construction of a 20,300 SF Dining Hall and a 74,433 SF Residence Hall on the Elizabeth City State University Campus in Elizabeth City, NC. Dining Hall will be a 1-story, Pre-Engineered Metal Building Structure and Roof, with Storefront and Metal Panel Exterior. Residence Hall will be a 4-story, load bearing metal stud building with brick veneer cladding. Scope of work includes sitework, structure, building envelope, MEP, and interior finishes. Both buildings will be under construction simultaneously but shall be treated as separate projects. Currently, we are prequalifying contractors for all bid packages.

Subcontractor's completed questionnaire shall be considered confidential and used solely to determine each subcontractor's qualifications. Evaluation of the submittal shall be performed by The Whiting-Turner Contracting Company and Holt Brothers Construction in accordance with project criteria, prequalification plan, and G.S. 143-128.2

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Section 1: Minimum Requirements

Information

Company Name: _____
Address: _____
Primary Contact: _____
Phone No.: _____ Cell No.: _____
Email Address: _____

Does Subcontractor intend to Partner or Joint Venture with another Subcontractor for this Project:

Yes ____ No ____

If yes, list the Companies involved and their applicable participating percentage:

Updated Company Information

The Whiting-Turner Contracting Company utilizes TRADETAPP for our Master Prequalification Process. This application includes Company Information, Project History, References, Backlog, Safety, Insurance, Bonding, and Financial Information. This application must be filled out in its entirety and updated annually. If any sections are not complete, then the prequal may be rejected. Please complete the online application and provide updated Submittal and Expiration Dates below:

Click link for application: <https://www.whiting-turner.com/subcontractor-prequalification/>

Date Submitted: _____

Expiration Date: _____

WT Subcontract

Under separate cover, the Whiting-Turner standard subcontract is available for review. Is your firm willing to sign the Whiting-Turner standard subcontract without modifications?

Yes ____ No ____

If no, and your firm requires modifications, please provide list of all required modifications for consideration. Did you include the required modifications to the Whiting-Turner standard subcontract? Yes ____ No ____

Insurance

Does your insurance meet the minimum Whiting-Turner standard requirements (including requirements outlined in the Whiting-Turner subcontract)? Yes ____ No ____

Bonding

If bid package is projected to be above \$500K - Attach letter, dated within the last 30 days, from your surety company, signed by their Attorney in Fact, verifying their willingness to issue sufficient payment and performance bonds for this project, on behalf of your firm and the dollar limits of that bond commitment, both single and aggregate. Surety company must be a Whiting-Turner certified company.

Have you attached a surety letter? Yes ____ No ____

Is your Surety company WT Certified? Yes ____ No ____

Have any Funds been expended by a Surety Company on your firm's behalf?

Yes ____ No ____ (Please explain, if yes)

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Section 2: General Requirements

Experience

Has your company ever performed construction work for ECSU and/or through similar NC public agencies? Yes _____
No _____

If yes, list the names of the agency, project, dollar value, owner and architect names and contact phone numbers, scheduled completion and actual completion dates for all projects under contract with the last 3 years.

Public Agency	Project Name	Dollar Value	Owner Contact	Architect Contact	Scheduled-Actual Completion Date

Size/Capacity/Workload

If the company has more than one office location, how many full-time permanent employees work for the company at the location which will serve this project? _____

Expected Annual Volume this Year \$ _____

How many projects do you currently have under contract or in progress and their total dollar value?

- _____ (# of projects).
- \$ _____ (Current projects contract amount).
- \$ _____ (Projects current amount remaining to bill)

What is your average job size? _____ Sq. Ft. \$ _____ (Dollar Amount)

What was your largest job completed? _____ Sq. Ft. \$ _____ (Dollar Amount)

_____ Location _____ Year Completed

Current Back Log \$ _____ (Dollar Amount)

List the three **biggest** contracts currently under contract or in progress, including for each, the name of the project, description of work performed, owner and architect names and phone numbers, contract dollar values, contract delivery method, percentage complete and currently anticipated completion dates.

COMPLETE ATTACHED **EXHIBIT B**

List the three **biggest** contracts ever completed working for **Whiting-Turner and/or Holt Brothers**, including for each, the name of the project, description of work performed, owner and architect names and phone numbers, contract dollar values, contract delivery method, and completion dates.

COMPLETE ATTACHED **EXHIBIT B**

Will this project be managed and directed from an office in NC? An office in NC is defined as "The principal place from which the trade or business of the bidder is directed or managed," per GS143-59 (c).

Yes ____ No ____

Elizabeth City State University – Residence & Dining Hall

Prequalification Form for First Tier Subcontractors under CM at Risk

Claims/Disputes/Litigation

Has your company been involved in any judgments, claims, litigation, arbitration or mediation proceedings, or suits within the last five years, whether resolved or still pending resolution?

Yes ____ No ____

If yes, state the project name(s), year(s), case number, if resolved when and how (e.g., settlement, judgment, etc.), a description of the basis for the matter and amount, and the reason why it was brought:

Are there currently any judgments, claims, litigation, arbitration or mediation proceedings or suits pending or outstanding against your company, its officers, owners, or agents?

Yes ____ No ____

If yes, state the project name(s), year(s), case number and a description of the basis for the matter and amount, and reason why it was brought: _____

Has your company filed any claims with a North Carolina Public Agency (County of Mecklenburg, CMS, North Carolina State Construction Office, etc.) within the last five years, whether directly or indirectly through a General Contractor?

Yes ____ No ____

If yes, state the project name(s), year(s), case number, a description of the basis for the matter and amount, and the reason why it was brought: : _____

Has your company ever failed to complete work awarded to it?

Yes ____ No ____

If yes, please provide project name(s), year(s), and reasonwhy: _____

Has your company ever failed to substantially complete a project in a timely manner (i.e., more than 20% beyond the originally contracted, scheduled completion date)?

Yes ____ No ____

If yes, please provide project name(s), year(s), and reasonwhy: _____

Minority, Women, Small Business Entities (MWSBE) Plan

Does the company currently have a documented plan for engaging subcontractor participation from MWSBE Businesses? _____ Yes ____ No

If yes, please attach your company's MWSBE plan.

What has been your company's average percentage level of MWSBE participation for projects in North Carolina for the past 5 years: _____%

How can you provide MWSBE participation on your projects? Explain _____

Elizabeth City State University – Residence & Dining Hall

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SECTION 3: PROJECT SPECIFIC REQUIREMENTS

References - List three (3) current or completed projects of **similar** type, size, complexity, and duration of proposed project in the last 10 years. Fill in all information below and / or include a project sheet with the requested information. From your point of view, rate the success of the project as well.

COMPLETE ATTACHED **EXHIBIT B**

The assigned project superintendent for this project shall be: _____.

Include a resume. Have you included a resume? ____ Yes ____ No

Experience of the superintendent on this specific type of project is: ____ 0-2 ____ 3-4 ____ 5-10 ____ >10 years.

The assigned project manager for this project shall be _____.

Include a resume. Have you included a resume? ☐ Yes ☐ No

Experience of the project manager on this specific type of project is: ____ 0-2 ____ 3-4 ____ 5-10 ____ >10 years.

Will your company provide adequate staffing for the duration of the project? Should any supervision or field labor be deemed insufficient to maintain quality, safety, and schedule adherence, will your firm provide additional manpower on the project to satisfy the demands of the project ____ Yes ____ No

4. Signatures

By signing this document, you are acknowledging that all answers are true to the best of your knowledge. **Any answers found to be falsified will bar you from being prequalified on this project.**

Dated this day of: _____

Submitted by: _____

Signature By Authorized Officer

Print Title of Authorized Officer

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EXHIBIT A:

LIST OF PROPOSED BID PACKAGES

Name of Project: ECSU Residence and Dining Hall

Estimated Construction Project Value: \$44 Million

Anticipated Project Start Date: August 2023

Anticipated Project Completion Date: May 2025

Check Next to Seeking Prequal	Bid Package Number	Bid Package Description	Notes
	BP0300	Concrete/Cast In Place Concrete	
	BP0400	Masonry	
	BP0512	Struct Steel	
	BP0610	Rough Carpentry	
	BP0742	Metal Panels	
	BP0754	Roofing	
	BP0781	Fireproofing	
	BP0792	Waterproofing & Joint Caulking	
	BP0811	Doors, Frames & Hardware	
	BP0841	Aluminum-Frame Entrances & Storefronts	
	BP0921	Drywall & Framing	
	BP0960	Flooring & Tile	
	BP0991	Painting	
	BP1014	Signage	
	BP1021	Interior Accessories	
	BP1026	Wall & Corner Guards	
	BP1140	Food Service Equipment	
	BP1224	Window Treatment	
	BP1300	Pre-Engineered Metal Building	
	BP1310	Architectural Woodwork	
	BP1400	Elevators	
	BP2100	Fire Protection	
	BP2200	Plumbing & Fixtures	
	BP2300	HVAC	
	BP2310	HVAC Testing & Balancing	
	BP2600	Electrical & Fire Alarm	
	BP2710	Low Voltage Communications	
	BP2710	Low Voltage Communications	
	BP3100	Earthwork & Site Utilities	
	BP3200	Landscape	
	BP3210	Site Furnishings	

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EXHIBIT B:

PROJECT REFERENCES

1. Projects Currently Under Contract

#1 –Completed - Project Name	
Description of Work Performed	
Contract Delivery Method	
Owner Name/ Representative	
Architect Name/Representative	
GC or CM Name/Representative	
GC or CM Address/Phone #/Email	
Lost Man-hours due to Accident	
Final Contract Dollar Value	
HUB % Achieved	
Date Complete	
#2 –Completed - Project Name	
Description of Work Performed	
Contract Delivery Method	
Owner Name/ Representative	
Architect Name/Representative	
GC or CM Name/Representative	
GC or CM Address/Phone #/Email	
Lost Man-hours due to Accident	
Final Contract Dollar Value	
HUB % Achieved	
Date Complete	
#3 –Completed - Project Name	
Description of Work Performed	
Contract Delivery Method	
Owner Name/ Representative	
Architect Name/Representative	
GC or CM Name/Representative	
GC or CM Address/Phone #/Email	
Lost Man-hours due to Accident	
Final Contract Dollar Value	
HUB % Achieved	
Date Complete	

Elizabeth City State University – Residence & Dining Hall

Prequalification Form for First Tier Subcontractors under CM at Risk

2. Projects completes for WT/Holt Brothers

#1 –Completed - Project Name	
Description of Work Performed	
Contract Delivery Method	
Owner Name/ Representative	
Architect Name/Representative	
GC or CM Name/Representative	
GC or CM Address/Phone #/Email	
Lost Man-hours due to Accident	
Final Contract Dollar Value	
HUB % Achieved	
Date Complete	
#2 –Completed - Project Name	
Description of Work Performed	
Contract Delivery Method	
Owner Name/ Representative	
Architect Name/Representative	
GC or CM Name/Representative	
GC or CM Address/Phone #/Email	
Lost Man-hours due to Accident	
Final Contract Dollar Value	
HUB % Achieved	
Date Complete	
#3 –Completed - Project Name	
Description of Work Performed	
Contract Delivery Method	
Owner Name/ Representative	
Architect Name/Representative	
GC or CM Name/Representative	
GC or CM Address/Phone #/Email	
Lost Man-hours due to Accident	
Final Contract Dollar Value	
HUB % Achieved	
Date Complete	

Elizabeth City State University – Residence & Dining Hall

Prequalification Form for First Tier Subcontractors under CM at Risk

3. Similar Projects (Current or Completed)

#1 –Completed - Project Name	
Description of Work Performed	
Contract Delivery Method	
Owner Name/ Representative	
Architect Name/Representative	
GC or CM Name/Representative	
GC or CM Address/Phone #/Email	
Lost Man-hours due to Accident	
Final Contract Dollar Value	
HUB % Achieved	
Date Complete	
#2 –Completed - Project Name	
Description of Work Performed	
Contract Delivery Method	
Owner Name/ Representative	
Architect Name/Representative	
GC or CM Name/Representative	
GC or CM Address/Phone #/Email	
Lost Man-hours due to Accident	
Final Contract Dollar Value	
HUB % Achieved	
Date Complete	
#3 –Completed - Project Name	
Description of Work Performed	
Contract Delivery Method	
Owner Name/ Representative	
Architect Name/Representative	
GC or CM Name/Representative	
GC or CM Address/Phone #/Email	
Lost Man-hours due to Accident	
Final Contract Dollar Value	
HUB % Achieved	
Date Complete	

Exhibit C
Prequalification Ratings Matrix for First-Tier Subcontractors under CM @ Risk

Name of Subcontractor: _____
 Project Name: _____
 Bid Package No. / Description: _____

			<div style="display: flex; align-items: center;"> <div style="border: 1px solid black; padding: 2px; margin-right: 5px;"> Yes or N/A = 1 point for acceptance and No = 0 points for not acceptance </div> <table border="1"> <tr> <th></th> <th>1</th> <th>2</th> <th>3</th> <th>4</th> <th>5</th> <th>6</th> <th>7</th> <th>8</th> </tr> <tr> <td></td> <td>Contractor Name</td> <td>Contractor Name</td> <td>Contractor Name</td> <td>Contractor Name</td> <td>Contractor Name</td> <td>Contractor Name</td> <td>Contractor Name</td> <td>Contractor Name</td> </tr> </table> </div>									1	2	3	4	5	6	7	8		Contractor Name	Contractor Name	Contractor Name	Contractor Name	Contractor Name	Contractor Name	Contractor Name	Contractor Name
	1	2	3	4	5	6	7	8																				
	Contractor Name	Contractor Name	Contractor Name	Contractor Name	Contractor Name	Contractor Name	Contractor Name	Contractor Name																				
Part A Section #	Description	Yes or No	Pts	Pts	Pts	Pts	Pts	Pts	Pts																			
Part A - 1. GENERAL COMPANY INFORMATION		Yes or No																										
Part A - 2. BUSINESS TYPE		Yes or No																										
Part A - 3. LICENSING INFORMATION		Yes or No																										
Part A - 4. TYPE OF SCOPE OF WORK / AVERAGE SIZE / LARGEST PROJECT		Yes or No																										
Part A - 5. SIZE OF COMPANY		Yes or No																										
Part A - 6. CURRENT WORKLOAD		Yes or No																										
Part A - 7. CURRENT WORKLOAD		Yes or No																										
Part A - 8. LITIGATIONS AND CLAIMS		Yes or No																										
Part A - 9. HUB OR DIVERSITY PLAN																												
Part A - SUPPLEMENTAL INFORMATION																												
	Audited Financials	Yes or No																										
	Bonding Company Letter	Yes or No																										
	Current Insurance Certificate meeting OC-15 Article 34	Yes or No																										
	Letter from Insurance Company stating EMR	Yes or No																										
	OSHA 300 Reports	Yes or No																										
	Copy of HUB Certification, if claimed in Section 2	Yes or No																										
	Copy of Licenses for Specific work, if required in Section 3	Yes or No																										
	Part A Acceptance = Subtotal 15 out of 15 points																											
Part B Section #	Description	Yes or No	Pts	Pts	Pts	Pts	Pts	Pts	Pts																			
Part B - 1. INFORMATION																												
Part B - 2. UPDATED COMPANY INFORMATION																												
	2a Current Backlog and Bonding Capacity Available	Yes or No																										
	2b Attach bonding letter from Surety, if over \$300k	Yes or No																										
	2c List of Projects working with CMAR in last 5 years	Yes or No																										
Part B - 3. PROJECT SPECIFICS																												
	3a Assigned Superintendent resume and experience	Yes or No																										
	3b Relevant project experience of assigned Superintendent	Yes or No																										
	3c Assigned Project Manager resume and experience	Yes or No																										
	3d Relevant project experience of assigned Project Manager	Yes or No																										
	3e Related Project Experience	Yes or No																										
	3f.1 Available Craftsman for Trade Prequalifying for	Yes or No																										
	3f.1 Self performance for Trade Prequalifying for	Yes or No																										
	Part B Acceptance = Subtotal 10 out of 10 points																											
	TOTAL POINTS = 25 points out of 25 points																											
			All scores of 25 points will be prequalified.																									

note; if an item is not applicable ("n/a"), then the CMAR shall make the line "n/a" for all subcontractors seeking prequalification for that bid package